



MEDICAL POLICY STATEMENT OHIO MEDICAID

Policy name	Policy Number	Effective Date
Metabolic and Bariatric Surgery in Adults 20 and Older	MM-0791	10/01/2019-10/31/2020
Policy Type		
MEDICAL	Administrative	Pharmacy
		Reimbursement

Medical Policy Statements prepared by CSMG Co. and its affiliates (including CareSource) are derived from literature based on and supported by clinical guidelines, nationally recognized utilization and technology assessment guidelines, other medical management industry standards, and published MCO clinical policy guidelines. Medically necessary services include, but are not limited to, those health care services or supplies that are proper and necessary for the diagnosis or treatment of disease, illness, or injury and without which the patient can be expected to suffer prolonged, increased, or new morbidity, impairment of function, dysfunction of a body organ or part, or significant pain and discomfort. These services meet the standards of good medical practice in the local area, are the lowest cost alternative, and are not provided mainly for the convenience of the member or provider. Medically necessary services also include those services defined in any Evidence of Coverage documents, Medical Policy Statements, Provider Manuals, Member Handbooks, and/or other policies and procedures.

Medical Policy Statements prepared by CSMG Co. and its affiliates (including CareSource) do not ensure an authorization or payment of services. Please refer to the plan contract (often referred to as the Evidence of Coverage) for the service(s) referenced in the Medical Policy Statement. If there is a conflict between the Medical Policy Statement and the plan contract (i.e., Evidence of Coverage), then the plan contract (i.e., Evidence of Coverage) will be the controlling document used to make the determination.

Contents of Policy

<u>MEDICAL POLICY STATEMENT</u>	1
<u>TABLE OF CONTENTS</u>	1
<u>A. SUBJECT</u>	2
<u>B. BACKGROUND</u>	2
<u>C. DEFINITIONS</u>	3
<u>D. POLICY</u>	3
<u>E. CONDITIONS OF COVERAGE</u>	4
<u>F. RELATED POLICIES/RULES</u>	4
<u>G. REVIEW/REVISION HISTORY</u>	4
<u>H. REFERENCES</u>	4



A. SUBJECT
Metabolic and Bariatric Surgery in Adults 20 and Older

B. BACKGROUND

Obesity continues to be a major health threat in the United States affecting an increasingly larger proportion of adults and children. The Centers for Disease Control and Prevention (CDC) estimate that over 39.8% of adults in the United States older than the age of 20 are obese (2015-2016). Obesity in adults aged 40 to 59 is higher (42.8%) than those under aged 40 (35.7%), statistics indicate that there has been a significant increase in obesity from 1999 through 2016. Only tobacco has a higher modifiable risk factor in adult mortality. If continuing to trend at the current rate, obesity will become the number one modifiable risk factor in adult mortality. Obesity-related health problems include hypertension, Type II diabetes, hyperlipidemia, atherosclerosis, heart disease, and stroke, diseases of the gallbladder, osteoarthritis, sleep apnea and certain cancers.

The primary goals in achieving optimal health outcomes for our members are providing noninvasive approaches to reduce or prevent obesity by promoting healthy life styles that will improve long-term outcomes. For individuals not able to manage serve obesity though non-surgical interventions, metabolic and bariatric surgery options may be an effective intervention. Metabolic and bariatric surgery must not be experimental or investigational, it must meet current standard of care guidelines, and any device utilized must be FDA approved.

Bariatric and metabolic surgeries have been shown to have positive effects on psychosocial functioning; however, 20% of patients fail to achieve significant weight loss. A National Institutes of Health (NIH) consensus panel concluded that patients contemplating bariatric surgery should undergo pre-surgery psychological evaluation along with monitoring and addressing of psychological and behavioral factors pre- and post-surgery.

Obesity is clinically defined using body mass index (BMI), a mathematical formula that quantifies body fat by reflecting the presence of excess adipose tissue. Body mass index is weight in kilograms divided by height in meters squared (Kg/M²). BMI is the most common tool used to measure relative weight in comparison in children and adults.

The National Heart, Lung, and Blood Institute (NHLBI) classifies the ranges of BMI in adults as follows (NHLBI, 1998):

- <18.5 - Underweight
- 18.5 to 24.9 kg/m² - Normal
- 25-29.9 kg/m² - Overweight
- 30-34.9 kg/m² - Obesity Class I
- 35-39.9 kg/m² - Obesity Class II
- Greater than 40 kg/m² – Extreme Obesity Class III (The term extreme obesity is equivalent to morbid obesity.)

In addition, individuals with a BMI greater than 50 are classified as “super-obese”.



Professional Societies

The following professional societies' recommendations are derived from the latest guidelines and scientific based literature available.

American Diabetes Association (ADA):

The ADA recommends bariatric surgery should be a recommended option to treat type 2 diabetes in appropriate surgical candidates with a body mass index (BMI) of 40 or greater regardless of glycemic control (BMI of 37.5 or greater in Asian Americans), and patients with a BMI of 35.0 to 39.9 (32.5 to 37.4 in Asian Americans) with inadequately controlled hyperglycemia despite lifestyle and optimal medical therapy. Surgery should also be considered as an option to treat type 2 diabetic patients with a BMI of 30.0 to 34.9 (27.5 to 32.4 in Asian Americans) who do not achieve durable weight loss and improvement in comorbidities (including hyperglycemia) with reasonable nonsurgical methods. (2019)

National Institute of Health, the American Society for Bariatric Surgery, the American Obesity Association, and Shape Up America:

Guidelines developed by these organizations and embraced by the American Medical Association and the American College of Surgeons recommends that patients who are morbidly obese receive responsible, affordable medical treatment for their obesity.

American Society for Metabolic and Bariatric Surgery (ASMBS), American Association of Clinical Endocrinologists (AACE) and The Obesity Society (TOS):

The ASMBS in a joint commission with the AACE and the TOS recommends bariatric surgery be offered for patients with a BMI of 40 or greater and without a coexisting medical condition and for whom the surgery would not be considered high risk and for patients with a BMI of 35 or greater and 1 or more co-morbidities (2013).

Society of American Gastrointestinal and Endoscopic Surgeons (SAGES):

The Society of American Gastrointestinal and Endoscopic Surgeons (SAGES) recommend bariatric surgery for the following (2008):

- BMI 35 to 40 with obesity-related co-morbid medical conditions
- BMI \geq 40 without co-morbidity if the weight adversely affects the patient
- Demonstration that dietary attempts at weight control have been ineffective
- Patients are motivated and well-informed
- Patients are free of psychological disease

National Institute of Diabetes and Digestive and Kidney Diseases (NIDDKD):

The National Institutes of Diabetes and Digestive and Kidney Diseases recommend bariatric surgery for the following (2016):

- BMI of greater than 40
- BMI of greater than 35 with serious comorbidity including:
 - Type 2 Diabetes
 - Heart Disease
 - Sleep Apnea
- For the gastric band only: BMI of 30 or greater with a serious health problem linked to obesity

C. DEFINITIONS

- **Adolescent:** Is defined as an individual aged 10 to 19 years of age.
- **Body Mass Index (BMI) for Adults:** Body Mass Index (BMI) is a person's weight in kilograms divided by the square of height in meters.
- **Morbid Obesity:** Morbid obesity means a weight which is at least 100 pounds over or twice the ideal weight for frame, age, height, and gender as specified in the 1983 Metropolitan Life Insurance tables. Morbid obesity also means a body mass index (BMI) equal to or greater than 35 kilograms per meter squared with comorbidity or coexisting medical



conditions such as hypertension, cardiopulmonary conditions, sleep apnea, or diabetes or a BMI of 40 kilograms per meter squared without such comorbidity.

- **Laparoscopic Adjustable Gastric Banding (LAGB):** is a type of laparoscopic surgery to effect weight loss by gastric restriction only. An adjustable band is placed around the top part of the stomach causing the creation of a very small stomach pouch. The band can be adjusted by removing or adding fluid using a needle inserted into a port placed under the skin of the abdomen into the balloon around the band.
- **Mini Gastric Bypass or Laparoscopic Loop (LMGBP):** LMGBP involves the construction of a gastric tube by dividing (segmenting) the stomach vertically, down to the antrum. As in the RYGB, food does not enter the distal stomach. A mini gastric bypass creates a long narrow tube of the stomach along its right border (the lesser curvature). A loop of the small gut is brought up and hooked to this tube at about 180 cm from the start of the intestine. However, unlike gastric bypass surgery, digestive enzymes and bile are not diverted away from the stomach after LMGBP.
- **Roux-en Y Gastric Bypass (RYGB):** is a surgical procedure (either open or laparoscopic) with two components. The first component is the surgical creation of a small stomach pouch, approximately one ounce or 30 milliliters in volume, by dividing the top of the stomach from the rest of the stomach. The second component is the division of the small intestine where a Y-shaped bottom end of the small intestine is connected to the newly created small stomach pouch, allowing food to bypass the lower stomach and parts of the digestive tract where calories and nutrients are absorbed, creating a direct connection to the lower portion of the small intestine.
- **Sleeve Gastrectomy or Stand-Alone Laparoscopic Sleeve Gastrectomy (LSG):** is performed by removing approximately 70 to 80 percent of the stomach through a curvature (resembling the shape of a banana) gastrectomy. The continuity of the gastric lesser curve is maintained. This procedure used to be part of the RYGBP but is sometimes performed as stand-alone surgery. This procedure can be open or laparoscopic.

D. POLICY

- I. A prior authorization is required for all types of metabolic and bariatric surgery.
- II. Metabolic and bariatric surgery is considered medically necessary when all of the following criteria are met:
 - a. Primary diagnosis is obesity
 - b. Patient is at least 20 years of age. (For individuals 19 years of age and younger, please refer to Metabolic and Bariatric Surgery in Adolescents, Policy MM-0027)
 - c. One of the following BMI requirements must be met:
 - i. Patient has Body Mass Index (BMI²) of 40 (Obesity Class III) or greater
 - ii. Patient has Body Mass Index (BMI²) of 35 or greater AND ONE OR MORE clinically serious conditions related to obesity such as:
 - a. Obesity hypoventilation syndrome (OHS)
 - b. Obstructive sleep disorder diagnosis/Obstructive Sleep Apnea (OSA), not otherwise well-controlled by standard therapies
 - c. Non-alcoholic fatty liver disease
 - d. Nonalcoholic steatohepatitis (NASH)
 - e. Pseudotumor cerebri
 - f. Gastroesophageal reflux disease (GERD)
 - g. Asthma
 - h. Venous stasis disease
 - i. Severe urinary incontinence
 - j. Debilitating arthritis
 - k. Poorly controlled hypertension on multi-drug therapy
 - l. Coronary Artery Disease



- m. Pulmonary Hypertension
- iii. Patient has BMI of 30 or greater with type 2 diabetes mellitus with inadequately controlled hyperglycemia (e.g., hemoglobin A1c greater than 8% (64 mmol/mol))

III. DOCUMENTATION:

- a. Written clinical documentation and supporting information from the attending surgeon must include all of the following:
 - i. Letter of medical necessity from the Primary Care Physician (PCP) or appropriate specialist.
 - ii. Evidence that the member has actively participated in a physician supervised structured nutrition and exercise weight loss program for at least a 6-month period within the last 2 years.
 - iii. Evidence that member is participating in a multi-disciplinary program to prepare them for surgery as well as through the extended post-operative period.
 - iv. Documentation illustrating the member has been evaluated from a psychological standpoint within the past 6 months by the treating behavioral health provider including consideration of all of the following:
 - a. Evidence that any co-existing psychiatric condition is stable.
 - b. Obesity surgery is contraindicated if any of the following conditions exist:
 - a. Active suicidality
 - b. Active psychosis
 - c. Active substance abuse
 - c. Obesity surgery is contraindicated if member has an ongoing substance abuse problem within the previous year
 - d. Evidence the member can withstand the rigors of surgery.
 - e. Evidence the member can adhere with preoperative and postoperative long-term follow-up care.
 - f. Assessment, listing of diagnoses and treatment plan must be provided.
 - v. The intended procedure must not be experimental or investigational, must meet current standard of care guidelines, and any device utilized must be FDA approved.
 - vi. The member should not have a current or planned pregnancy within 12 to 18 months of surgery.

IV. REVISION SURGERY:

- a. Revision surgery is considered medically necessary when complications following metabolic or bariatric surgery occur including one or more of the following:
 - i. Abdominal pain
 - ii. Anastomotic leak
 - iii. Bacterial overgrowth
 - iv. Bowel obstruction
 - v. Chest pain
 - vi. Fever
 - vii. Persistent vomiting
 - viii. Heartburn (new or increased)
 - ix. Inadequate weight loss

V. EXCLUSIONS:

- a. Bariatric Surgery for Treatment of Co-Morbid Conditions Related to Morbid Obesity is NOT COVERED for ANY of the following:
 - i. Treatments for obesity when above criteria not met/treatment of obesity alone
 - ii. Supplemented fasting as a general treatment for obesity
 - iii. Open adjustable gastric banding
 - iv. Open sleeve gastrectomy



- v. Open and laparoscopic vertical banded gastroplasty
- vi. Intestinal bypass surgery
- vii. Gastric balloon for treatment of obesity

E. CONDITIONS OF COVERAGE

HCPCS

CPT

AUTHORIZATION PERIOD

F. RELATED POLICIES/RULES

Metabolic and Bariatric Surgery in Adolescents MM-0027

G. REVIEW/REVISION HISTORY

DATES		ACTION
Date Issued	09/21/2004	New Policy.
Date Revised	10/17/2017	Annual update
Date Revised	05/29/2019	Changed title from Obesity Surgery and updated per 2018 guidelines.
Date Effective	10/01/2019	
Date Archived	10/31/2020	This Policy is no longer active and has been archived. Please note that there could be other Policies that may have some of the same rules incorporated and CareSource reserves the right to follow CMS/State/NCCI guidelines without a formal documented Policy.

H. REFERENCES

1. AAP Updates Recommendations on Obesity Prevention: It's Never Too Early to Begin Living a Healthy Lifestyle. (n.d.). Retrieved May 8, 2019, from <https://www.aap.org/en-us/about-the-aap/aap-press-room/pages/AAP-Updates-Recommendations-on-Obesity-Prevention-It's-Never-Too-Early-to-Begin-Living-a-Healthy-Lifestyle.aspx>
2. Adult Obesity Facts, Centers for Disease Control and Prevention, Last reviewed August 18, 2018, Retrieved May 8, 2019, from <https://www.cdc.gov/obesity/data/adult.html>.
3. NCHS Data Brief, No. 288, October 2017, Prevalence of Obesity Among Adults and Youth: United States, 2015-2016. Retrieved May 8, 2019 from <https://www.cdc.gov/nchs/data/databriefs/db288.pdf>
4. American Society for Metabolic and Bariatric Surgery, Retrieved May 8, 2019, from <https://asmb.org/resources/clinical-practice-guidelines-for-the-perioperative-nutritional-metabolic-and-nonsurgical-support-of-the-bariatric-surgery-patient>
5. Bariatric Surgery | NIDDK. (n.d.). Retrieved May 8, 2019, from <https://www.niddk.nih.gov/health-information/weight-management/bariatric-surgery#clinicaltrials>
6. Bariatric procedures for the management of severe obesity: Descriptions. (n.d.). Retrieved May 8, 2019, from <http://www.uptodate.com/contents/bariatric-procedures-for-the-management-of-severe-obesity-descriptions>
7. Buchwald, H., Avidor, Y., Braunwald, E., Jensen, M. D., Pories, W., Fahrenbach, K., & Schoelles, K. (2004). Bariatric Surgery. *JAMA*, 292(14), 1724. doi:10.1001/jama.292.14.1724
8. CDC. (2016, April 27). Obesity and overweight. Retrieved May 8, 2019, from <http://www.cdc.gov/nchs/fastats/obesity-overweight.htm>
9. Chapman, A. (2004). Laparoscopic adjustable gastric banding in the treatment of obesity: A systematic literature review. *Surgery*, 135(3), 326-351. doi:10.1016/s0039-6060(03)00392-1
10. Decision Memo for Bariatric Surgery for the Treatment of Morbid Obesity (CAG-00250R). U.S. Dept. of Health and Human Services, Centers for Medicare and Medicaid Services, February 21, 2006.



11. Kalarchian, M. (2007). Psychiatric Disorders Among Bariatric Surgery Candidates: Relationship to Obesity and Functional Health Status. *American Journal of Psychiatry*, 164(2), 328. doi:10.1176/appi.ajp.164.2.328
12. Late complications of bariatric surgical operations. (n.d.). Retrieved May 8, 2019, from https://www.uptodate.com/contents/late-complications-of-bariatric-surgical-operations?source=search_result
13. Marcus, M. D., Kalarchian, M. A., & Courcoulas, A. P. (2009). Psychiatric evaluation and follow-up of Bariatric surgery patients. *American Journal of Psychiatry*, 166(3), 285–291. doi:10.1176/appi.ajp.2008.08091327
14. MCG Care Guidelines: Ambulatory Care Guidelines, 23rd Ed., 2019.
15. New Diabetes Guidelines Include Recommendations for Bariatric Surgery. (n.d.). Retrieved May 8, 2019, from <https://www.hayesinc.com/subscribers/displaySubscriberArticle.do?>
16. The Practical Guide to Identification and Treatment of Overweight and Obesity in Adults. (n.d.). Retrieved May 8, 2019, from http://www.nhlbi.nih.gov/files/docs/guidelines/prctgd_c.pdf
17. Repeat Bariatric Surgery for Patients Who Have Not Reached Weight-loss Goals after Previous Surgery. (n.d.). Retrieved May 8, 2019, from <https://www.ecri.org/components/Hotline/Pages/14173.aspx>
18. Shekelle, P. G. (n.d.). Mental Health Assessment and Psychological Interventions for bariatric surgery. Retrieved May 8, 2019, from <https://www.hsrd.research.va.gov/publications/esp/bariatric-REPORT.pdf>
19. Updated Guidelines for Bariatric Surgery. (n.d.). Retrieved May 8, 2019, from <https://www.hayesinc.com/subscribers/displaySubscriberArticle.do?>
20. Ogden CL, Carroll MD, Fryar CD, Flegal KM. Prevalence of obesity among adults and youth: United States, 2011–2014. NCHS data brief, no 219. Hyattsville, MD: National Center for Health Statistics. 2015.
21. Obesity Management for the Treatment of Type 2 Diabetes: Standards of Medical Care in Diabetes – 2019, American Diabetes Association, *Diabetes Care* 2019 Jan; 42(Supplement 1): S81-S89 http://care.diabetesjournals.org/content/42/Supplement_1/S81
22. Guidelines for Clinical Application of Bariatric Surgery, Retrieved May 8, 2019, from <https://www.sages.org/publications/guidelines/guidelines-for-clinical-application-of-laparoscopic-bariatric-surgery/>, June 2008.
23. National Institute of Diabetes and Digestive and Kidney Diseases, Potential Candidates for Bariatric Surgery, Retrieved May 8, 2019, from <https://www.niddk.nih.gov/health-information/weight-management/bariatric-surgery/potential-candidates>

This guideline contains custom content that has been modified from the standard care guidelines and has not been reviewed or approved by MCG Health, LLC.

The Medical Policy Statement detailed above has received due consideration as defined in the Medical Policy Statement Policy and is approved.